SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Tassiopoulos Peter			2. Date of Event Requiring Statement (Month/Day/Year) 08/28/2024	3. Issuer Name and Ticker or Trading Symbol ALT5 Sigma Corp [ ALTS ]					
(Last)	(First)	(Middle)		4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
325 E. WARM SPRINGS ROAD SUITE 102				x	Director Officer (give title below)	10% Owner Other (specify below)	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) LAS VEGAS NV 89119			Chief Executive Offic		e Officer	X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Deriva	tivo Se	ocurities Beneficial	v Owned			

## 1. Title of Security (Instr. 4) 2. Amount of Securities Beneficially Owned (Instr. 4) 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) 4. Nature of Indirect Beneficial Ownership (Instr. 5) Common Stock, par value \$0.001 per share 15,000<sup>(1)</sup> I Shares are beneficially owned through a Canadian registered retirement savings plan

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		Conversion or Exercise	Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Indirect (I) Derivative (Instr. 5) Security		
Common Stock (Restricted Stock Unit)	08/28/2024	(2)	Restricted Stock Units	400,000	0	D	

Explanation of Responses:

1. Represents: (i) 4,000 shares bought at \$2.41253, (ii) 926 shares bought at \$2.60732, (iii) 5,574 shares bought \$2.65 and (iv) 4,500 shares bought at \$2.20.

2. N/A

/s/ Peter Tassiopoulos

\*\* Signature of Reporting Person

08/28/2024

Date

Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.